

#13 Registered
Refund
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Darius, et al. Docket No.: SOL002
Serial No.: 09/593,786 Art Unit: 3624
Filed: June 14, 2000 Examiner: Sally Shih
For: Mortgage Information Exchange Platform for a Broker to Select a Mortgage Lender

US PATENT
OFFICE

Certificate of Mailing via First Class Mail (37 C.F.R. § 1.8(a))

Date of Deposit: January 22, 2004

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service on the date indicated above as first class mail in an envelope addressed to: Mail Stop 16, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Certificate of Mailing via First Class Mail (1 page)
Request for Refund under 37 CFR § 1.26 (2 pages)
Copy of Filing Receipt dated August 15, 2000 (2 pages)
Copy of Amendment Transmittal dated November 20, 2003 (1 page)
Copy of Redacted Statement for Deposit Account No. 50-1065 (2 pages)
Return Postcard

Respectfully submitted,

Natalie Swider

Natalie Swider
Legal Assistant

Slater & Matsil, L.L.P.
17950 Preston Rd., Suite 1000
Dallas, TX 75252
Tel: 972-732-1001
Fax: 972-732-9218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Darius, et al.

Docket: SOL002

Serial No.: 09/593,786

Art Unit: 3624

Filed: 6/14/2000

Examiner: Sally Shih

For: Mortgage Information Exchange Platform for a Broker to Select a Mortgage Lender

Mail Stop 16

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Request for Refund Under 37 CFR § 1.26

Dear Sir:

The above-referenced patent application has been assessed a fee of \$110.00. For the reasons stated below, Applicant submits that this fee was incorrectly charged due to the Applicant having a small entity status and requests a refund of half of the amount charged.

FACTS:

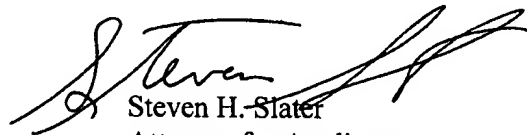
1. The above-referenced application was filed on June 14, 2000. Applicants claimed small entity status at the time of filing as is noted on the Filing Receipt dated August 15, 2000 (copy attached).
2. Applicants filed an amendment on November 20, 2003 and requested a one-month extension of time. Applicants again claimed small entity status and authorized payment of \$55.00 to Deposit Account No. 50-1065. (See copy of Amendment Transmittal.)
3. On November 26, 2003, Deposit Account No. 50-1065 was charged \$110.00 for a large entity one-month extension of time fee.

REMARKS:

Applicants respectfully submit that small entity status still applies and respectfully request that half of the \$110.00 fee charged, that is \$55.00 be refunded to Deposit Account No. 50-1065.

If the office should have any questions, it is requested that Applicants' attorney be contacted at the telephone number below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven Slater", with a stylized flourish at the end.

Steven H. Slater
Attorney for Applicants
Reg. No. 35,361

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Dallas, TX 75252-5793
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COPY**FILING RECEIPT**

OC00000005322723

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/593,786	06/14/2000	2756	345	10121:16	5	6	1

David H Judson
Hughes & Luce LLP
1717 Main Street Suite 2800
Dallas, TX 75201**DHJ****AUG 17 2000**

Date Mailed: 08/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)Ivan Henri Robert Darius, Richardson, TX ;
Dries Jos Rachel Darius, Richardson, TX ;
Lawrence Oliver Huff, Southlake, TX ;
Benny Sylvare Verneersch, Plano, TX ;**Continuing Data as Claimed by Applicant**

THIS APPLN CLAIMS BENEFIT OF 60/139,148 06/14/1999

Foreign Applications

If Required, Foreign Filing License Granted 08/14/2000

**** SMALL ENTITY ******Title**

Mortgage information exchange platform

Preliminary Class

709

Data entry by : SCOTT, JOSEPH

Team : OIPE

Date: 08/15/2000



COPY

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Title 35, Unit d State C de, S ction 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

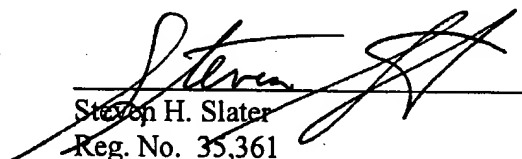
PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

COPY

AMENDMENT TRANSMITTAL LETTER				Attorney Docket No: SOL002		
Application Serial Number: 09/593,786		Filing Date: 6/14/2000		Examiner: Sally Shih		Group Art Unit: 3624
Invention: Mortgage Information Exchange Platform for a Broker to Select a Mortgage Lender						
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS: Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.						
CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	6	MINUS	20	0	\$18	\$ 0.00
INDEP. CLAIMS	1	MINUS	3	0	\$84	\$ 0.00
<input checked="" type="checkbox"/> Petition is hereby made under 37 CFR 1.136(a) to extend the time for response to the Office Action of <u>8/5/2003</u> to and through <u>12/5/2003</u> , comprising an extension of the shortened statutory period of: <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <div> <input checked="" type="checkbox"/> one month (\$110) <input type="checkbox"/> two months (\$400) </div> <div> <input type="checkbox"/> three months (\$920) <input type="checkbox"/> four months (\$1,440) </div> </div>						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$110.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$ <u>55.00</u> . <input type="checkbox"/> A check in the amount of \$ _____ is attached. <input checked="" type="checkbox"/> Charge \$ <u>55.00</u> to Deposit Account <u>50-1065</u> . <input checked="" type="checkbox"/> Charge any additional fees or credit any overpayments to Deposit Account <u>50-1065</u> . <input type="checkbox"/> No additional fee is required.						
<u>20 NOV 2003</u> Date		 Steven H. Slater Reg. No. 35,361				

**United States
Patent and
Trademark Office**

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USPTO
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Page**Deposit Account Statement****Requested Statement Month:**

November 2003

Deposit Account Number:

501065

Name:

SLATER & MATSIL, LLP

Attention:

IRA S MATSIL

Address:

17950 PRESTON RD

City:

DALLAS

State:

TX

Zip:

75252

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/03	2					
11/04	59					
11/04	60					
11/04	96					
11/04	113					
11/05	1					
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11/21 343	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/21 410	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/21 420	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/24 323	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/25 69	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/26 1	09593786	10121:16	1251	\$110.00 \$26,299.50
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11/26 138	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/28 3	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/28 159	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11/28 160	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
	START	SUM OF	SUM OF	END
	BALANCE	CHARGES	REPLENISH	BALANCE
	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

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